## § 1260.14

Multiple Year Grant or Cooperative Agreement, will be included in the award

- (ii) Periods approved under the Multiple Year Grant or Cooperative Agreement special condition at §1260.52, and funded at the levels specified in the special condition, are not considered to be new awards. Therefore, new proposals, new proposal-related certifications (such as Disclosure of Lobbying Activities, and Debarment and Suspension), new technical evaluations, and new budget proposals are not required, as long as this information for the multiple year period was reviewed and approved as part of the original proposal.
- (iii) If NASA program constraints or developments within the research project dictate a reduction in the funding level specified under a Multiple Year Grant period, research may continue at the reduced level under the terms of the provisions; however, the recipient may rebudget under the grant provisions to keep the project within the funding actually provided.
- (3) An augmentation to a grant may be issued as a supplement at any time when work is introduced which is outside the scope of the approved proposal or when there is a need for substantial unanticipated funding. The grant officer must first determine whether the augmentation requires a separate approval as a non-competitive addition to the work to be performed under the grant. Augmentations require the submission of revised budget proposals and technical evaluations covering the additional work. Since augmentations will be performed within the existing period of performance, certifications will not normally be required.
- (4) A grant extension may be placed to extend the grant beyond the expiration date, in accordance with the provision at §1260.23, Extensions, if additional time beyond the established period of performance is required to assure adequate completion of the original scope of work within the available funding.
- (5) Grant renewals provide for continuation of research beyond the original scope, period of performance and funding levels; therefore, new proposals, certifications and technical evaluations are required prior to the

execution of a grant renewal. Grant renewals will be awarded as new grants. Continued performance within a period specified under the Multiple Year Grant provision does not constitute a renewal. For research originally awarded through a competitive NRA, CAN, or other competitive announcement that has completed its period of performance, peer review of a proposal to continue the research should be accomplished prior to selecting the research grant for renewal. If the effort was originally awarded through an unsolicited proposal, a new justification to accept the unsolicited proposal would be required (however. also §1260.12(f)(1)). Multiple year grant special conditions may be incorporated into renewals.

- (b) While NASA normally provides full funding support for research grants, alternate methods of grant funding are as follows:
- (1) Since NASA grant recipients usually gain no measurable commercial or economic benefit from grants, other than conducting research, cost sharing for research grants is not generally required. NASA may, however, accept cost sharing when voluntarily offered. Additionally, in instances when the grant officer determines that the recipient will benefit from the research results through sales to non-Federal entities, cost sharing based upon this mutuality of interest will apply. See §1260.123. When cost sharing is used, the grant officer shall insert a Special Condition substantially as shown in §1260.54, Cost Sharing. (See 14 CFR part 1274 for grants and cooperative agreements with commercial organizations involving cost sharing.)
- (2) NASA may provide partial support for a research project or conference where additional funding is being provided by other Federal agencies. If the grant also involves cost sharing by the recipient, the grant officer will ensure that the recipient's share does not include any Federal funds.

## § 1260.14 Limitations.

(a) NASA does not award grants merely to provide donative assistance no matter how worthy the purpose, but to the extent that appropriations are available to carry out authorized Agency programs. Research in any academic discipline related to NASA interests normally will qualify. However, advice of legal counsel should be sought in unusual situations, or when unusual project activities or organizational attributes are evident.

- (b) It is NASA's policy that non-monetary (zero dollar) grants or cooperative agreements shall not be used, except for no-cost extensions.
- (c) Loans of Government personal property not associated with a contract, grant, or cooperative agreement under 31 U.S.C. 6301 to 6308, and made under the Space Act of 1958, should be consummated as loan agreements. Also, excess Government research property may be donated to educational institutions and nonprofit organizations pursuant to 15 U.S.C. 3710(I). See §1260.133(a)(2).
- (d) Neither grants nor cooperative agreements shall be used as legal instruments for consulting service arrangements.

## § 1260.15 Format and numbering.

- (a) A grant shall be brief, containing only those provisions and special conditions necessary to protect the interests of the Government.
- (b) Cover page formats shown in Exhibit B to subpart A of this Part 1260 shall be used for all NASA grant and cooperative agreement award documents. Provisions for grants with U.S. organizations shall be incorporated by reference, and preprinted checklists may be used (Exhibit C to subpart A of this part 1260). Both special conditions and provisions for grants with foreign organizations will be printed in full text. An acceptance block may be added when the grant officer finds it necessary to require bilateral execution of the grant. Program budgets are not generally attached to the award document. When it is necessary to attach the budget due to revisions to the original proposed budget or other reasons, this information should be suitably marked as confidential, and is not be disclosed outside of the Government without the consent of the grantee.
- (c) The Identification Numbering System to be used prior to Integrated Financial Management Project (IFMP)

- implementation will be applied as follows:
- (1) For research, education, and facilities grants, numbering shall conform to (NFS) 48 CFR 1804.7102(a) by including the Center Identification Number, except that a NAG prefix will be used in lieu of the NAS prefix (e.g., NAG5 would be the Goddard prefix designation). They will be sequentially numbered
- (2) Cooperative agreements will use the prefix NCC plus the Center Identification Number. They will be sequentially numbered.
- (3) Training grants will use the prefix NGT plus the Center Identification Number. They will be sequentially numbered.
- (4) The Catalog of Federal Domestic Assistance (CFDA) Numbers does not apply to NASA grants.
- (d) The Identification Numbering System will be revised after IFMP implementation. There will be a phase-in term for Center implementation of the IFMP. For centers using IFMP Performance Purchasing; the following numbering system shall be used for new awards (awards made prior to conversation to IFMP will retain previously assigned numbers):
- (1) Document Type for grants. For research, education, facilities, and training grants, the document type prefix GR shall be used.
- (2) Document Type for cooperative agreements. Cooperative agreements will use the prefix CO.
- (3) Agency Identifier. The Agency identifier NAS shall follow the document number.
- (4) Center Smart Codes. The Center identifier shall follow the document type:

Installation	Smart code
Ames Research Center	А
Dryden Flight Research Center	D
Glenn Research Center	С
Goddard Space Flight Center	G
Headquarters	Н
Johnson Space Center	J
Kennedy Space Center	K
Langley Research Center	L
Marshall Space Flight Center	M
NASA Management Office—JPL	Р
Stennis Space Center	S

(5) Fiscal Year. The fiscal year shall be represented as two digits.